

TFW

PATENT
Attorney Docket No. ACD-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Bogomolov et al.
SERIAL NO.: 10/668,111 GROUP NO.: 1614
FILING DATE: September 19, 2003 EXAMINER: Not yet assigned
TITLE: Methods for Characterizing a Mixture of Chemical Compounds

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the non-patent publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: July 9, 2004
Reg. No. 54,089

Tel. No.: (617) 310-8414
Fax No.: (617) 248-7100

3091147

Respectfully submitted,



James E. Fajkowski
Attorney for Applicant(s)
Testa, Hurwitz, & Thibeault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110



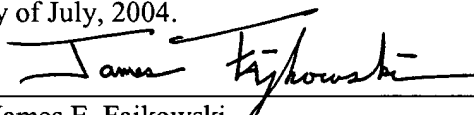
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TITLE: Methods for Characterizing a Mixture of Chemical Compounds

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 9th day of July, 2004.


James E. Fajkowski

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg.);
2. Information Disclosure Statement (2 pgs.);
3. Form PTO-1449 (2 pgs.);
4. Copies of Cited References C1-C7; and
5. Return Receipt Postcard.

TRANSMITTAL FORM

Application Serial Number	10/668,111
Filing Date	September 19, 2003
First Named Inventor	Bogomolov
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	ACD-002
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

- | | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form

<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]

<input type="checkbox"/> Petition for Extension of Time

<input checked="" type="checkbox"/> Information Disclosure Statement
<input checked="" type="checkbox"/> Form PTO-1449
<input checked="" type="checkbox"/> Copies of IDS Citations C1-C7

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application

<input type="checkbox"/> Formal Drawing(s)

<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal

<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)

<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application

<input type="checkbox"/> Small Entity Statement

<input type="checkbox"/> CD(s) for large table or computer program

<input type="checkbox"/> Amendment After Allowance

<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences

<input type="checkbox"/> Appeal Brief (in triplicate)

<input type="checkbox"/> Status Inquiry

<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8

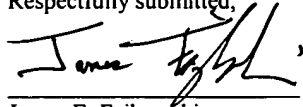
<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8

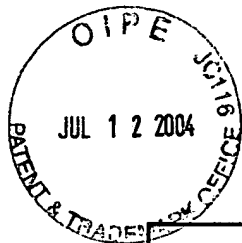
<input type="checkbox"/> Additional Enclosure(s) (please identify below) |
|--|---|---|

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

 Date: July 9, 2004
 Reg. No. 54,089
 Tel. No.: (617) 310-8414
 Fax No.: (617) 248-7100
 James E. Fajkowski
 Attorney for Applicants
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110



FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: ACD-002

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SERIAL NO.: 10/668,111

FILING DATE: September 19, 2003 GROUP: 1614

U.S. PATENT DOCUMENTS

EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	
	C1	Bogomolov <i>et al.</i> , "Mutual peak matching in a series of HPLC-DAD mixture analyses," <i>Analytica Chimica Acta</i> 490:41-58 (2003).
	C2	Hamilton <i>et al.</i> , "Mixture Analysis Using Factor Analysis. II: Self-Modeling Curve Resolution," <i>Journal of Chemometrics</i> , Vol. 4, 1-13 (1990).
	C3	Tauler <i>et al.</i> , "Self-modelling curve resolution in studies of spectrometric titrations of multi-equilibria systems by factor analysis," <i>Analytica Chimica Acta</i> , 248:447-458 (1991).
	C4	Malinowski, "Determination of the Number of Factors and the Experimental Error in a Data Matrix," <i>Analytical Chemistry</i> , Vol. 49, No. 4, 612-617 (April 1977).
	C5	Malinowski, "Theory of Error for Target Factor Analysis with Applications to Mass Spectrometry and Nuclear Magnetic Resonance Spectrometry," <i>Analytica Chimica Acta</i> , 103:339-354 (1978).

EXAMINER

DATE CONSIDERED

FORM PTO – 1449 INFORMATION DISCLOSURE STATEMENT		ATTORNEY DOCKET NO.: ACD-002 APPLICANT(S): Bogomolov et al. SERIAL NO.: 10/668,111 FILING DATE: September 19, 2003 GROUP: 1614
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	
	C6	Tauler <i>et al.</i> , "Simultaneous analysis of several spectroscopic titrations with self-modelling curve resolution," <i>Chemometrics and Intelligent Laboratory Systems</i> , 18:293-300 (1993).
	C7	Schostack <i>et al.</i> , "Preferred Set Selection by Iterative Key Set Factor Analysis," <i>Chemometrics and Intelligent Laboratory Systems</i> , 6:21-29 (1989).
EXAMINER		DATE CONSIDERED

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